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| 13281 09/PTO | UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b)</small> | | Attorney Docket No. 247538US0X DIV |
| | First Inventor or Application Identifier Tatsuya TOMIOKA, | | |
| | Title | POLYCARBONATE RESIN FOR OPTICAL DISK SUBSTRATE AND OPTICAL DISK SUBSTRATE | |

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| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i> | ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, Virginia 22313 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Sheets <input type="text" value="60"/></p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text" value="7"/></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <input type="text" value="3"/></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification or Sequence Listing on :</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> | ACCOMPANYING APPLICATION PARTS <p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>12. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>16. <input checked="" type="checkbox"/> Other: Request for Priority w/Copy of Form PCT/IB/304</p> <p>Certified English Translations of JP 2000-127336 filed 04/27/00 JP 2000-157802 filed 05/29/00 JP 2000-234047 filed 08/02/00 and JP 2000-234048 filed 08/02/00</p> <p>Copy of European Search Report (07/11/03)</p> |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/926,828

Prior application information: Examiner: Buttner Group Art Unit: 1712

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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| 18. CORRESPONDENCE ADDRESS |
| Customer Number 22850 (703) 413-3000 FACSIMILE: (703) 413-2220 |

| | | | |
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| Name: | Norman F. Oblon | Registration No.: | 24,618 |
| Signature: | <i>Kirsten Grüneberg</i> | Date: | 1/14/04 |
| Name: | Kirsten Grüneberg | Registration No.: | 47,297 |



Docket No. 247538US0X DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tatsuya TOMIOKA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: POLYCARBONATE RESIN FOR OPTICAL DISK SUBSTRATE AND OPTICAL DISK SUBSTRATE

FEE TRANSMITTAL

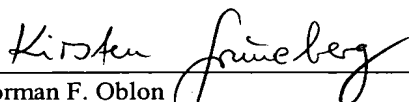
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| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 16 - 20 = | 0 | x \$18 = | \$0.00 |
| INDEPENDENT CLAIMS | 2 - 3 = | 0 | x \$86 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$290 = | \$0.00 |
| <input type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$0.00 |
| BASIC FEE | | | | \$770.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$770.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$0.00 |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT | | | + \$40 = | \$0.00 |
| TOTAL | | | | \$770.00 |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$770.00** to cover the filing fee is enclosed.
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- ☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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Date: January 14, 2004

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